## INTER-AGENCY STANDING COMMITTEE WORKING GROUP 56th MEETING

## 11-12 February 2004 Auditorium WFP Headquarters, Rome

Follow-up to the IASC External Review: Draft IASC Implementation Plan

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The External Review of the IASC proposed 43 recommendations to increase the relevance, value-added, effectiveness and sustainability of the IASC. The final report of the External Review was discussed among the IASC members at the working level in three meetings held on 19 December 2003, 13 January 2004 and 26 January 2004. Some of the recommendations included in the review were considered already implemented. All other recommendations were reviewed and discussed by the IASC agencies in terms of their relevance and follow-up. The following document is the result of the review process and proposes the appropriate follow-up by the IASC members to the principal recommendations of the review.

In addition to the actual action points reflected in the matrix, the IASC members opted to highlight a number of core issues as part of a preamble to the Implementation Plan. The Core issues are in the following areas: strengthening the IASC by defining better the relationships with other bodies; reasserting the ownership of the IASC by its respective members; acknowledging that it was the responsibility of IASC members to ensure that coordination works; reinforcing the capacity for impartial action through advocacy and policy development; supporting inclusive field coordination; and improving the effectiveness of the IASC through strengthening its procedures.

## **Preamble:**

## The IASC members:

 Agreed on the need to further invest in their own capacities for participating in and supporting the IASC both in the field and at headquarters level. Emphasized the need to implement policies and guidelines developed by the IASC. Underlined the importance of mutual cooperation between all members of the Country Team and the critical role of the Humanitarian Coordinator. The IASC members encouraged the ERC to ensure that OCHA provides adequate support to the Humanitarian Coordinators for the implementation of the Terms of Reference.

- Underlined the need to ensure full understanding by all actors at the field and headquarters level of the relationship between OCHA offices in the field and the Humanitarian Coordinator as specified in the terms of reference of the OCHA offices.
- Recognised that the IASC should propose practical solutions to identified mandate and capacity gaps which remain unresolved.
- Stressed the importance of accountability, and the need for enhanced mechanisms to support
  the Humanitarian Coordinators, and encouraged the ERC to communicate to all
  Humanitarian Coordinators that one of his top priorities was the performance and
  accountability.
- Welcomed the efforts of the ERC to engage with regional humanitarian organizations as part of a strategy to achieve a more representative geographical image of humanitarian action.
- Encouraged increased linkages between the IASC members at the level of the operational sections/desks and called for continued proactive role by OCHA to ensure this.

Proposed Action by the IASC	Responsible for execution	Timeframe
I: Role of the IASC and its relationship with other		
coordination mechanisms		
Defining responsibility for addressing mandate gaps at field and HQ		
<ul> <li>Cognizant of the work already undertaken, an action plan for revitalizing the collaborative approach should be prepared for approval by the IASC Principals. [Refers to Recommendation No. 06]</li> <li>Promote inter-agency joint evaluations and lessons learned as a means to identify structural gaps and capacity issues. [Refers to Recommendation No. 13]</li> </ul>	IDP Unit Senior Network IASC Members	April 2004 Ongoing
<ul> <li>Promote practical solutions to identified mandate and capacity gaps for the ERC and IASC Principals' consideration.</li> </ul>	IASC Members	Ongoing
Relationship between IASC and other coordination mechanisms		
• The ERC should ensure improved complementarity between IASC and ECHA, utilising the respective committees' comparative advantages. [Refers to Recommendations 36, 37, 42]	ERC	May 2004
The non-IASC members (e.g. UNSECOORD on security, DPA/DPKO on political and military related issues) should be invited to contribute to issues under discussion in the IASC requiring their input and expertise. [Refers to Recommendations 38, 39, 40]	IASC members	N/A

Proposed Action by the IASC	Responsible for execution	Timeframe
• Strengthen the effectiveness of advocacy through better clarification of key concerns and priorities. The IASC will support the ERC to develop an advocacy strategy addressing two main target audiences: political level (Security Council, GA, ECOSOC, groupings of member states) and field level (for public awareness of humanitarian objectives, principles and activities). In addition an outreach strategy should be developed for extended partnerships (research institutions, academia, local actors etc.) (Refers to Recommendation No. 07)	OCHA IASC members	December 2004
<ul> <li>Increase the awareness of the IASC mechanism and products, especially among the Humanitarian Coordinators, Country Teams and OCHA offices by the following actions: <ol> <li>Set a prominent link to the IASC website from the websites of ALL IASC members own websites;</li> <li>ReliefWeb and all IASC members to distribute systematically IASC policies and outputs;</li> <li>OCHA to reinforce the importance of OCHA's field offices in the dissemination and implementation of IASC policies in the field.</li> <li>Agencies to ensure that IASC information/products is/are consistently shared with and explained to their field offices [Refers to Recommendation No. 18]</li> </ol> </li> </ul>	IASC members OCHA	May 2004
<ul> <li>Create an outreach mechanism for enhanced dialogue with other operational humanitarian actors to (a) discuss key policy issues relating to humanitarian principles, standards, etc; (b) introduce a wider audience to key mechanisms such as the HC system and the CHAP; and (c) listen to the concerns of these actors about members' performance and policies [Refers to Recommendation No. 33]</li> </ul>	IASC members IASC Secretariat	September 2004

Proposed Action by the IASC	Responsible for execution	Timeframe
<ul> <li>Policy development involving outside actors should be structured through the establishment of a mechanism for interaction with those actors – either through a co-chaired group, interaction with an existing body such as the HLWG, use of the ECOSOC report, or similar;         [Refers to Recommendation No. 04a]     </li> </ul>	IASC-WG	N/A

Proposed Action by the IASC	Responsible for execution	Timeframe
II: Enhance Inclusive Field Coordination		
Mechanisms and Support to the Field		
Field Coordination Mechanisms		
Support the Humanitarian Coordinator in the implementation of the Terms of Reference including ensuring inclusive coordination process by consulting with the broader humanitarian community in the field.	OCHA IASC members	Ongoing
• Continue efforts to develop field-based or field-oriented coordination mechanisms, principally through the sub-working groups on the CAP and Contingency Planning; IASC-WG to recognize the importance of moving contingency planning past the stage of scenario planning and into real operational planning, and provide the necessary support to the SWG on Contingency Planning [Refers to Recommendation No. 1]	IASC-WG IASC SWG on Preparedness and Contingency Planning IASC SWG on CAP	Ongoing
Encourage the use of regional offices or regional processes where they add value	IASC members	Ongoing
• Make greater use of inter-agency missions to tackle field-based problems. Refine the process, by limiting the number of participants and by ensuring relevance of participants by using criteria of operational presence. Humanitarian Coordinators should have a stronger role in deciding on the relevance, the terms of reference, and the timing of the missions [Refers to Recommendation No. 09]	OCHA IASC members	September 2004

Proposed Action by the IASC	Responsible for execution	Timeframe
Selection and Appointment of and Support to the Humanitarian Coordinators  Renew efforts to improve the Humanitarian Coordinator system – in particular:		
• Recognizing the relationship between Resident Coordinator selection process and the appointment of the Humanitarian Coordinators, encourage members to forward names from non-UN IASC members for RC assessment using the existing mechanism; and encourage OCHA to consult with and request the views of the broader IASC membership on the RC selection process. This should assist in ensuring that the consultation process regarding the selection and appointment of Humanitarian Coordinators is meaningful and fully takes into account the views provided by the IASC members.	IASC members OCHA	Ongoing
Identify appropriate mechanisms for accountability for Humanitarian Coordinators. [Refers to Recommendation No. 02]	ОСНА	May 2004
• Develop mechanisms to support Humanitarian Coordinators in discharging their Terms of Reference, through for example the creation of a peer support mechanism (e.g. roving team of 2-3 former HCs or field directors, to meet with HCs and country teams and provide suggestions for application of policy, improvement of coordination mechanisms with NGOs and donors, etc.). This could also be used to provide 'surge capacity' at HC level when departure of RC/HC creates a vacuum. [Refers to Recommendation No. 03b]	OCHA	September 2004

Proposed Action by the IASC	Responsible for execution	Timeframe
<ul> <li>Improve Dissemination, Awareness and Policy Application of IASC Decisions and Policies at the Field Level</li> <li>Require any subsidiary body to include in its plan of action (i) identification of 1-2 countries of particular salience for the policy issue, (ii) a distribution plan, including possible inclusion of the policy outcome in the CAP, and harmonized with field planning and training time frames; and (iii) a follow-up mechanism, either through country reporting, independent assessment, etc. [Refers to Recommendation No. 11a]</li> </ul>	IASC Subsidiary Bodies IASC Secretariat	May 2004
Make consistent use of Humanitarian Coordinators, IASC members at the field level and OCHA Offices as instruments for the distribution and in-country application of policy instruments; Make more consistent use of the CAP workshop as a mechanism through which to introduce policy outputs; [Refers to Recommendation No. 11b]	OCHA IASC members	June 2004
<ul> <li>Provide support to the field, as necessary, in the implementation of guidelines. Establish a mechanism to monitor the application of agreed policies and guidelines and identify bottlenecks and problem areas in the application [Refers to Recommendation No.11c]</li> </ul>	OCHA IASC members	September 2004

III: IASC Procedures		
IASC Membership:		
<ul> <li>Membership criteria should be established, which would provide a basis for determining whether applicants should be invited to join the IASC.</li> </ul>	IASC Secretariat	November 2004
• The IASC should consider the membership of and/links with regional humanitarian organizations as a vehicle for ensuring more balanced geographical representation, including from the South. [Refers to Recommendation No. 30/31/32/35]	IASC members	May 2004
• Encouraged the ERC to have a dialogue with the World Bank about their membership in the IASC.	ERC	July 2004
IASC Meetings:		
The IASC Principals Meetings should focus on providig guidance on strategic orientation and to review core policy issues; [Refers to Recommendation No. 19d]	ERC IASC Secretariat	Ongoing
Regular and ad-hoc IASC WG meetings should consider coordination mechanisms, operational challenges and application of policy to specific country contexts	IASC members IASC Secretariat	Ongoing
Encourage all IASC members to be represented also by operational people in country specific discussions in the IASC-WG meetings	IASC members	Ongoing
[Refers to Recommendation No. 12/15/16]		

IASC Subsidiary bodies		
The IASC Secretariat to refine the mechanisms for managing the subsidiary body process as it relates to policy issues, by flexibly applying the proposed guidelines. The IASC Secretariat to produce a separate guide on all IASC procedures.  [Refers to Recommendation No. 10]	IASC Secretariat	June 2004