



Grand Bargain Work-stream Co-convenors' Technical Meeting Notes Annexes

ICRC, 23 January 2018

[Annex 1: Annotated agenda](#)

Annotated Agenda

Grand Bargain Work-stream Co-convenors' Technical Meeting

Tuesday 23 January 2018

ICRC - 19 Avenue de la Paix (Bus 8 - Appia)

Please enter from the ICRC Museum Entrance – The Meeting will be held at the ICRC Humanitarium which you will access through the Museum.

Objectives

- All work-streams' Co-convenors are clear on their 2018 priority activities as well as how they link with other work-streams' activities.
- Agree as to how to improve the second self-reporting process and the second independent report.
- Identify key obstacles for Quid Pro Quo and ways to overcome them, including interventions by the Eminent Person.

0830 – 0900 Welcome Coffee

0900 - 0915 Introduction and Review of the Workshop Objectives

0915 – 1100 Work-stream Priorities' Presentations and Mapping Exercise

At the first annual meeting (September 2017, Geneva), it was requested that Co-convenors set baselines for their work-stream commitments and identify priority actions for the next year. This session will build upon the priority mapping concluded in September 2017 amongst 5 work-streams (transparency, management costs, multi-year planning/funding, earmarking and reporting), and at the end of the session, all work-streams will have mapped and sequenced key activities.

- Based on completed one-pager updates, each work-stream will fill in flip chart pages responding to the key questions listed below.
- The five Donor Conditions work-streams that met separately last October will meet as a group to review and update the mapping completed during that workshop.
- All work-streams will then rotate through stations to review and contribute to the new charts created by the work-streams for localization, cash programming, needs assessment, participation, and humanitarian-development nexus.
- Once the rotation is complete, work-streams will return to their original tables, take a few minutes to review and react to what others have contributed to their charts, and briefly present to the plenary group.
- As a final step, all work-streams will jointly update a mapping chart that outlines key priorities and activities up to 2020.

Proposed Questions for the Session

- ✧ What has the work-stream specifically accomplished? Try not to list all related activities, but only those completed through the Co-convenors. With what resources?
- ✧ What does the work-stream plan to accomplish: By June 2018? By 2020?
- ✧ What resources are needed to meet these work-stream goals (from other work-streams, outside partners)? What are the primary obstacles?

1100 – 1230

Improving the Annual Self-Report and Independent Report

GPPi compiled the Grand Bargain first independent report in June 2017, covering the first year implementation since the Grand Bargain was launched at the World Humanitarian Summit in May 2016. The report was prepared based on interviews with work-stream Co-convenors and self-reports submitted by the Grand Bargain signatories. For the second reporting exercise, ODI has been contracted. The session will provide an opportunity to provide feedbacks to the first reporting exercise in order to draw lessons learned.

- The session will be an open discussion to collect direct feedbacks from two perspectives; a signatory and a Co-convenor.
- An update of the second independent report process (time frame) will be provided.

Proposed Questions for the Session

- ✧ How did you find the first reporting exercise from a signatory point of view and also from a Co-convenor point of view?
- ✧ What were lessons learned? How would you suggest to improve the process?

1230 – 1330 Lunch

1330 – 1445 Quid Pro Quo

At the first annual meeting, it was recognized that for Quid Pro Quo to take place, some areas require mutual actions between donors and agencies. This is yet to happen

and different communities are not yet fully in sync and there is an overall lack of common direction. The session will firstly identify each group's "perception" of other groups as well as their own perception, in order to identify stumbling blocks and proposals to remove them.

- Participants will be divided into 3 groups: 1) donor governments, 2) UN agencies and 3) NGOs to discuss Quid Pro Quo from respective point of view.
- Each group to provide discussion feedback.
- All participants to analyze the 3 feedbacks to find a way forward to make Quid Pro Quo a reality.

Proposed Questions for the Session

- ✧ What are your group's perceptions of other two groups, as well as of your own group?
- ✧ Which obstacles do you think stem from your own group? (affecting one or multiple work-streams –including your own). Which solutions would you propose?
- ✧ Which obstacles do you think stem from the other two groups? Which solutions would you propose?

1445 – 1515 Coffee Break

1515 – 1600 Quid Pro Quo session continuation

1600 – 1730 Conclusion and AOB

The session will summarize key discussion points and will discuss any other relevant issues, including an update from the GB Secretariat.

- Identification of required meetings amongst different work-streams.
- Based on the previous Quid Pro Quo discussion, the session will agree on key priority action points to make Quid Pro Quo a reality.
- Administrative issues – the GB Secretariat.

Reference Documents

- 10 work-streams latest updates
- The first annual meeting Chair's Summary
- "Commitment to Complementarity" – Dated 19 October 2017

Workshop Expected Outputs

- 2018 Priority Activities Mapping
- Inputs for the Second Self-Reporting and the Second Independent Report
- Road Map for Quid Pro Quo

Annex 2: List of participants

Num	Name	GB Signatory	Position	Work-stream	Email
1	Bjorn Hofman	Netherlands	Humanitarian Advisor	WS 1	bjorn.hofman@minbuza.nl
2	Jos Verbeek	World Bank	Manager and Special Representative to the UN and WTO	WS 1	jverbeek@worldbank.org
3	Regina Gujan	Switzerland (SDC)	Deputy Head, Multilateral Affairs Division	WS 2	regina.gujan@eda.admin.ch
4	David Fisher	ICRC	Manager, Policy and Diplomacy Unit	WS 2	david.fisher@ifrc.org
5	Emily Henderson	DFID	Cash Advisor	WS 3	E-Henderson@dfid.gov.uk
6	Marie-Helene Kyprianou	WFP	Partnerships Officer	WS 3	m-helene.kyprianou@wfp.org
7	Hiroko Araki	UNHCR	Chief of Section, Humanitarian Financing and Field Support, Geneva	WS 4	araki@unhcr.org
8	Masako Sato	Japan	First Secretary	WS4	masako.sato-2@mofa.go.jp
9	Gerard van Driessche	ECHO	Policy Officer	WS 5	Gerard.VAN-DRIESSCHE@ec.europa.eu
10	Agnès Dhur	OCHA	Chief of section Humanitarian Affairs, Geneva	WS 5	dhur@un.org
11	Kate Halff	SCHR	Executive Secretary, Geneva	WS 6	SCHR@ifrc.org
12	Sanjana Quazi	UNICEF	Senior Adviser, Humanitarian Partnerships and Financing, New York	WS 7	squazi@unicef.org
13	Hong-Won Yu	Canada	Counselor, Humanitarian Affairs Permanent Mission of Canada	WS 7	HongWon.Yu@international.gc.ca
14	Ignacio Leon / Morning	OCHA	Chief of Response Planning and Monitoring Section	WS7	leoni@un.org
15	Agnese Spiazzi / Afternoon	OCHA	Humanitarian Affairs Officer	WS7	spiazzi@un.org
16	Cecilia Roselli	NRC	Head of Humanitarian Policy & Partnerships Unit, Geneva	WS7	cecilia.roselli@nrc.no
17	Elisabet Hedin	Sweden	Deputy Director Department for Conflict	WS 8	elisabet.hedin@gov.se

			and Humanitarian Affairs, MOFA Stockholm		
18	Maria Gärtner-Nord	Sweden	Counsellor UN Mission, Geneva	WS 8	maria.gartner-nord@gov.se
19	Elena Garagorri-Atristain	ICRC	Senior Adviser on Resource Mobilization and Donor Relations, Geneva	WS 8	egaragorri@icrc.org
20	Marie Schirrmeister	Germany	Division for Humanitarian Assistance, German Federal Foreign Office	WS 9	s08-gb@diplo.de
21	Melissa Pittoti	ICVA	Director of Policy and Program	WS 9	melissa.pitotti@icvanetwork.org
22	Jette Michelsen	Denmark	Chief Advisor, Department of Humanitarian Action, Migration and Civil Society, MOFA Copenhagen	WS 10	JETMIC@um.dk
23	Rekha Das	UNDP	Programme Specialist, Crisis Response Unit, Geneva	WS10	rekha.das@undp.org
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26	Claire James	DFID	Humanitarian Policy Adviser		claire-james@dfid.gov.uk
27	Vicki Metcalfe	ODI	Research Associate	Self-reporting	v.metcalfe@odi.org.uk
28	Jeremy Rempel	ICVA	Less Paper More Aid Project Coordinator	Facilitator	Jeremy.Rempel@icvanetwork.org
29	Paulette Jones	GB Secretariat	Grand Bargain Secretariat, Geneva	Secretariat	jones14@un.org
30	Gianmaria Pinto	GB Secretariat	Grand Bargain Secretariat, Geneva	Secretariat	pinto15@un.org
31	Anne Boggio	ICRC		Support	aboggio@icrc.org

Annex 3: 2017 achievements, 2018/2019 work plan and synergies

Annex 3 aims at capturing 2017 achievements, planning for 2018 and 2019 and the specific synergies between different work-streams' action points. The two tools below are a picture of the discussions during the workshop. However, they should not be considered as static elements, rather as evolving tools that should be expanded and modified along with the implementation of the GB. The achievements and planning matrix and the synergies map are synthesized in the mapping at page 3.

1. 2017 achievements and 2018/2019 work plan: The matrix captures, per work-stream, what has been achieved in 2017 (column '2017 achievements' and the 2018 and 2019 action points (columns '2018 plan' and '2019 plan')
2. Synergy mapping at work-stream level: The table maps out the synergies between work-streams. Column one highlights synergies identified at the same time by two work-streams. Column two shows a synergy that a particular work-stream has identified with another work-stream.

WORK-STREAM	2017 ACHIEVEMENTS	2018 PLAN	2019 PLAN
WS1 - Transparency	<ul style="list-style-type: none"> • Agreement on monitoring framework (only UNHCR & ICRC) • Engage with Humanitarian Data Centre & FTS on alignment with IATI (workshop & workplan) 	<ul style="list-style-type: none"> • Pilot: IATI/FTS inter-operability (June) • Survey and technical meeting (Q1) • Assess pilot options for IATI • All humanitarian agencies are entering some data through IATI/FTS by June 	<ul style="list-style-type: none"> • Better understanding • Better publishing • Better quality • Better capacity • Better usage
WS2 – Localization	<ul style="list-style-type: none"> • Three workshops • Negotiation on definition/measurement 	<ul style="list-style-type: none"> • Inter-agency mission to 3/4 demonstrator countries • Support for country-based network • Guidance notes • Regional workshops • Research and outreach 	<ul style="list-style-type: none"> • Inter-agency mission to 3/4 demonstrator countries • Support for country-based network • Guidance notes • Regional workshops • Research and outreach
WS3 – Cash	<ul style="list-style-type: none"> • GB Cash workshop • Work-stream plan • Identification of six priority areas and co-leads for each priority 	<ul style="list-style-type: none"> • Cash WS follow up workshop in May • Donor coordination (joint donor mission in February in Jordan and Lebanon) • Cash coordination by contacting IASC (June) • Measuring cash (CALP workshop on global measures for cash) 	

		<ul style="list-style-type: none"> Measuring efficiency/effectiveness/VfM and outcome indicators (US/IRC workshop and report recommendation) Quarterly update on mapping cash work 	
WS4 – Management cost	<ul style="list-style-type: none"> NRC continues to engage signatories on cost structure harmonization OCHA harmonized PCA studies 	<ul style="list-style-type: none"> UN discussion on harmonizing cost structures Financial reporting pilot Donor self-reporting, number of donor assessments in GB report (June) Use of the UNHCR partner portal as the common UN portal 	<ul style="list-style-type: none"> Enhance necessary transparency / accountability in reporting
WS5 – Needs assessment	<ul style="list-style-type: none"> Workshops and desk reviews OCHA internal guidance on joint HDN ToR on quality overview ECHO Emergency Response Capacity projects on needs assessment and analysis 	<ul style="list-style-type: none"> Draft inter-sectoral analysis framework Study on criteria to review the quality of humanitarian needs assessments Code of conduct on collaborative humanitarian needs assessments -IOM-led study on the use and usefulness of assessment data for decision-making Stock taking at the end of 2018 	<ul style="list-style-type: none"> Attitude shift to obtain Reinforcement of the use of joint needs assessments for decisions on operations and funding
WS6 – Participation revolution	<ul style="list-style-type: none"> Agreed on 'participation' definition Agreed recommendation for action by signatories to meet commitments 	<ul style="list-style-type: none"> Convene, showcase, advocate to promote the implementation of / learning on recommendations 	<ul style="list-style-type: none"> Convene, showcase, advocate to promote the implementation of / learning on recommendations When do we consider that the workstream has fulfilled its objectives?
WS7 – Multi-year planning	<ul style="list-style-type: none"> Work-stream workshop OCHA/FAO/NRC study 	<ul style="list-style-type: none"> Intermediary layer study Assess MYPF pilot Draw lessons from pilots Identify opportunities and challenges to bring Sherpas together for change opportunities/challenges Complete mapping of current multi-financing activities 	<ul style="list-style-type: none"> Toolkit for donors and agencies (June) Improve the RBM framework of MYPF
WS8 – Earmarking	<ul style="list-style-type: none"> Identify best practices through 	<ul style="list-style-type: none"> Self-report – Push for behavioral change 	<ul style="list-style-type: none"> Measure target of 30%

	donors and agencies engagement	through more precise questions (\$, CERF, best practices etc.) <ul style="list-style-type: none"> • Joint workshop with MYPF • Side event at ECOSOC (political will on 'how') • Toolkit for donors and agencies (June) 	
WS9 – Reporting	<ul style="list-style-type: none"> • Initial discussions with other WSs on financial reporting • Harmonized report pilot 	<ul style="list-style-type: none"> • Harmonized reporting pilot • Align pilots with other work-streams • Mid-term pilot review 	<ul style="list-style-type: none"> • Final review on pilot
WS10 – Humanitarian development nexus		<ul style="list-style-type: none"> • Show links with other WSs • Two regional NWOW workshops (Middle East and West Africa) • HDN advisors to support country level roll-out 	
Common to all work-streams		<ul style="list-style-type: none"> • Annual Meeting: 18 June, New York (on the side of ECOSOC) 	

SYNERGIES BETWEEN TWO WORK-STREAMS	SYNERGIES IDENTIFIED BY ONE WORK-STREAM
<ul style="list-style-type: none"> • WS 1 Transparency and WS 2 Localization • WS 1 Transparency and WS 3 Cash • WS 1 Transparency and WS 9 Reporting requirements • WS 2 Localization and WS 4 Management costs • WS 2 Localization and WS 6 Participation revolution • WS 2 Localization and WS 8 Earmarking • WS 2 Localization and WS 9 Reporting requirements • WS 2 Localization and WS 10 HDN • WS 3 Cash and WS 5 Needs assessment • WS 4 Management costs and WS 9 Reporting requirements • WS 5 Needs assessment and WS 6 Participation revolution • WS 5 Needs assessment and WS 7 MYFP • WS 5 Needs assessment and WS 10 HDN • WS 6 Participation revolution and WS 9 Reporting 	<ul style="list-style-type: none"> • WS 1 Transparency → WS 4 Management costs • WS 3 Cash → WS 2 Localization • WS 3 Cash → WS 8 Earmarking • WS 3 Cash → WS 9 Reporting • WS 3 Cash → WS 10 HDN • WS 4 Management costs → WS 3 Cash • WS 4 Management costs → WS 6 Participation revolution • WS 5 Needs assessment → WS 1 Transparency • WS 5 Needs assessment → WS 4 Management costs • WS 5 Needs assessment → WS 9 Reporting • WS 6 Participation revolution → WS 1 Transparency • WS 6 Participation revolution → WS 3 Cash • WS 6 Participation revolution → WS 8 Earmarking • WS 6 Participation revolution → WS 10 HDN

<p>requirements</p> <ul style="list-style-type: none">• WS 7 MYFP and WS 8 Earmarking• WS 7 MYFP and WS 9 Reporting requirements• WS 7 MYFP and WS 10 HDN	<ul style="list-style-type: none">• WS 7 MYFP → WS 2 Localization• WS 7 MYFP → WS 3 Cash• WS 8 Earmarking → WS 1 Transparency• WS 8 Earmarking → WS 4 Management costs• WS 8 Earmarking → WS 5 Needs assessment• WS 8 Earmarking → WS 9 Reporting• WS 10 HDN → WS 1 Transparency
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Annex 4: Transcript of the flip-charts on work-stream planning

WS2 - Localization

Accomplished

- Agreed key definitions and categories of measurement
- Incorporated (to some extent) local actors into the dialogue (through workshops)
- Began coordination of research on localization (including P2P field visits)

Plans

- Agreed workplan through 2019
- Before June 2018:
 - a) Baseline survey
 - b) At least one demonstrator country visit
 - c) More outreach (webinars, translations, webpage) to local actors (including invitees to WSs)
- Through 2019
 - a) 3/4 demonstrator countries missions
 - b) Support of country-based networks
 - c) Guidance notes/tip sheets on key topics
 - d) Regional workshops (particularly to engage governments) to discuss guidance notes
 - e) Continued promotion and coordination of research

Resources

- Funds available now from ECHO and Switzerland
- Need collaboration with Co-convenors to inform/engage local actors in the work of other WSs
- Need help identifying and advocating other processes where local actors' voices should be reported
- Open issue: can we encourage local actors to become signatories?

WS3 - Cash

Accomplished

- GB Cash WS workshop (31 May 2017)
- WS workplan and six priority areas identified
- Identification of co-leads for priority areas and activities

Plan to accomplish

- Donor coordination: Joint donor missions in February 2018 in Jordan and Lebanon
- Cash coordination: UK & WFP contacting IASC by June 2018
- Risk: WFP will organize a workshop by June 2018
- Measuring cash:
 - a) Work of CALP Cash Tracking Working Group
 - b) CALP workshop in May 2018 on global measures for cash
- Measuring value for money (VfM), efficiency, effectiveness and outcome indicators for multi-purpose cash:
 - a) Measuring value for money (VfM), efficiency, effectiveness workshop lead by US and IRC in April 2018 (analysis of report recommendations)
 - b) US/IRC mapping and menu of outcome indicators and guidance
- Mapping cash work: mapping shared every quarter

Obstacles

- Stretched human resources over GB deliverables: Need of dedicated resources for GB
- Leadership and governance: Some technical issues do not have consensus, we need leadership to engage in some technical decisions
- Insufficient engagement and/or support from certain signatories

Resources needed

- Contribution and active involvement of cash WS members
- Link and engagement with other WSs: Needs assessment, earmarking, transparency, localization, HDN

Wish list

- Coordination and engagement of other WSs
- Deliver one or two quid pro quo by end of 2018

[WS5 – Needs assessments](#)

Accomplished

- Two workshops
- Desk review completed of analysis frameworks and parameters inter-sectorial analysis framework defined
- OCHA internal guidance joint humanitarian-development analysis
- Draft ToRs for study on criteria to evaluate the quality of needs assessment and analysis (ECHO-led)
- Draft Monitoring and Evaluation framework for the Workstream
- Study on data use and usefulness (IOM-led)

By 2018/2020

- Inter-sectorial analysis framework and approach developed and used
- Agreed-upon criteria to evaluate the quality of humanitarian needs assessments and analysis
- Code of conduct among stakeholders to collaborate on humanitarian assessments
- Annual stock takings

Resources challenges


- Not enough staff OCHA / ECHO / Partners (agencies & donors) able to dedicate the necessary time
- Attitude shift is required, not just technical improvements
- Re-focus / prioritize regularly to achieve results

WS6 – Participation revolution

Accomplished

- Recommendations for actions by all signatories to meet commitments
- Workplan for 2018

Plans by June 2018 and beyond (?)

- Convene
 - Showcase
 - Advocate
- 
- Promote implementation of recommendations and learning

Resources and obstacles

- Implementation and delivery is the responsibility of signatories
- Convenors: no resources required

WS10 – Humanitarian and development nexus

Incentivize the joining between humanitarian and development engagement and collective efforts:

- Donor strategies
- Sharing of practices + how to incentivize
- GHD, INCAF/OECD, EU-MS
- Pooled funding
- Localized action











- Multi-year financing agreements
- Financing in fragile contexts

Advancing NWOW to shrink needy vulnerabilities

- Workshops
 - IASC TT
 - Country specific examples (Sudan)
 - Joint steering committee
 - CRRF
 - WB – Concessional Financial Facility
 - ER – Hum/Dev
- Best practice and capacity

[Annex 5: Work-stream cheat sheets](#)

The link below provides access to the work-streams cheat sheets, updated as of 22 January 2018

WORK-STREAM	CHEAT SHEET
WS1 Transparency	 WS1 - Transparency.pdf
WS 2 Localization	 WS 2 - Localization Workstream.pdf
WS3 Cash	 WS3 - Cash.pdf
WS4 Management costs	 WS4 - Reduce management cost.p
WS5 Needs assessment	 WS5 - Needs assessment.pdf
WS6 Participation revolution	 WS6 - Participation revolution.pdf
WS7 Multi-year planning and financing	 WS7 - Multi-year planning and fundii
WS8 Earmarking	 WS8 - Earmarking.pdf
WS9 Reporting	 WS9 - Reporting requirements.pdf
WS10 HDN	 WS10 - Humanitarian and d