ProCap & GenCap Appeal

Inter-Agency Protection and Gender Standby Capacity Projects

2019

Context

The Inter-Agency Protection and Gender Stand-by Capacity Projects: ProCap and GenCap were originally launched in 2005 and 2007 respectively. Their aim is to meet a widely recognised need for experienced protection and gender staff, particularly at senior level, and to strategically improve collaboration around protection and gender within any humanitarian response. The project deploys senior technical advisors, as inter-agency resources, to address capacity needs in the protection efforts of the humanitarian system, in addition to providing training, and policy advice and best practice. Recognising existing resources, the project addresses temporary system and institutional gaps, such as those created by shifts in the humanitarian system or a shortfall in the capacity of states or national actors to deliver on specific humanitarian commitments relating to protection, gender and women's empowerment. The inter-agency approach, which pools senior level expertise and strategic support, is recognised as the projects' added value and comparative advantage. Specifically, supporting the humanitarian community in finding practical solutions for complex protection issues remains a key focus for the project.

ProCap and GenCap collective achievements

Amongst collective key achievements to date, the ProCap and GenCap projects have successfully provided technical advice and strategic support to Humanitarian Coordinators (HCs), Resident Coordinators (RCs) and Humanitarian Country Teams (HCTs) on the promotion of the centrality of protection and gender equality in major crises and complex humanitarian contexts across the world; supported inter-agency protection and gender coordination mechanisms in emergencies; and embedded protection and gender in humanitarian tools and processes. The ProCap project has also helped national governments develop and initiate IDP and durable solution policies and reinforced the capacity of humanitarian actors and local stakeholders to engage and incorporate protection and gender in humanitarian action. Both GenCap and ProCap have strengthened the accountability of the humanitarian system. The projects have also supported government engagement on gender to ensure the distinct needs of women, men, boys and girls are addressed; reinforced the capacity of humanitarian actors and local stakeholders to engage and incorporate gender in humanitarian action and strengthened the accountability of the humanitarian system for gender equality programming through the application of the revised IASC Gender with Age Marker (GAM).

Highlight of our work in 2018

ProCap	GenCap
- Serviced humanitarian leadership in critical emergencies with serious protection concerns (Yemen, CAR, Nigeria, Whole of Syria, Iraq, Myanmar, Somalia, Afghanistan, Mali - Supported the development of 9 HCT Protection Strategies and/or operationalization of implementation plans [1] - Contributed to policy development and good practice on Centrality of Protection and Child Protection AoR - Helped national governments develop and initiate IDP and durable solutions policies (Sudan, ROSEA[2]) - Protection in natural disasters (1) 1. Nigeria, CAR, Iraq, Afghanistan, Cameroon, Myanmar, Mali, Somalia, Burundi. 2 . OCHA Regional Office for South-East Africa.	- Provided technical advice and strategic support to Humanitarian Coordinators (HCs), Resident Coordinators (RCs) and Humanitarian Country Teams (HCTs) on the promotion of gender equality and women's empowerment - Improved gender coordination mechanisms in emergencies, and embedded gender equality programming in humanitarian tools and processes on national, regional, and global level. - Developed and rolled out the Gender with Age Marker Tool (GAM) to assist gender-sensitive humanitarian programming.

2019 activities

Activities in 2019 will continue to be guided by relevant protection and gender frameworks, accountability to affected populations through effective and timely decision-making and other developments within the humanitarian system related to protection and Gender. ProCap will continue to support the development of policies and strategies by the international community and national governments (for example, national policies on internal displacement and frameworks for durable solutions and HCT and Centrality of Protection Strategies.

ProCap and GenCap will continue to support inter-agency coordination efforts. Priority will be given to sudden onset emergencies or complex protracted crises, and to developing protection and gender strategies in emergency, transitioning or politically challenging situations.
ProCap and GenCap will provide support to HCT at the country level with the support of regional deployments as required to ensure protection-sensitive preparedness and response to natural disasters.

A range of mechanisms enabling senior protection advisors to channel feedback from their deployments will continue throughout 2019. This includes the annual ProCap and GenCap technical workshop, public debriefs by ProCap and GenCap deployees at the end of their missions, round-table events and webinars.

The Projects will be implemented through three modalities: deployments, training, and sharing of practice and influence.

Rationale

ProCap

ProCap provides strategic and operational support and technical advice on humanitarian protection to a range of stakeholders operating in humanitarian situations, while fostering synergies with development and peacekeeping actors. ProCap raises awareness, promotes respect for and assists in incorporating and applying protection to durable solutions frameworks, tools and policies. Furthermore, from a humanitarian perspective, ProCap works with development actors to support the New Way of Working (NWOW) and to strengthen the humanitarian-development nexus, particularly in contexts with protracted displacement. ProCap is guided by and will promote the IASC policy on Protection in Humanitarian Action (2016), the IASC Centrality of Protection in Humanitarian Action Statement (2013), the Professional Standards for Protection Work (2018), and the IASC Framework on Durable Solutions for Internally Displaced Persons (2010). ProCap will also ensure linkages and support synergies as appropriate with other relevant protection and peacebuilding actors in line with policies and initiatives such as the UN policy on Integrated Analysis and Planning (2018), the UN DPKO/DFS policy on Protection of Civilians in United Nations Peacekeeping (2015) and the UN system-wide 'Human Rights Up Front' Initiative (2013). ProCap works in partnership with the Global Protection Cluster (GPC), its Areas of Responsibility (AoRs) including Child Protection and Gender Based Violence, and respective field clusters/sub clusters/ sectors to improve the predictability, leadership, effectiveness and accountability of humanitarian responses. This includes ensuring that protection is central to humanitarian action through involvement of a wide range of duty-bearers, including humanitarian, development, political, peacekeeping and other relevant actors. In partnership with the GPC, ProCap will also provide global level inter-agency policy advice and guidance to protection clusters in the field, in support of protection responses for internally displaced persons.

GenCap

The main focus of the GenCap project remains to strengthen leadership and capacity within the humanitarian system to deliver on its commitment to gender equality. This commitment is the enjoyment of equal opportunities and rights between women, girls, men and boys in humanitarian action and the empowerment of women, so that women and girls acquire the means to build resilience and be part of the decision-making processes. Working from a humanitarian perspective, in support of the New Way of Working (NWOW), and fostering synergies with development and peacekeeping actors, GenCap is guided by the IASC Policy on Gender Equality and the Empowerment of Women and Girls in Humanitarian Action (2017) and the IASC Gender Handbook, whilst receiving policy level guidance from the IASC Gender Reference Group (GRG). GenCap facilitates the use of the IASC Gender with Age Marker (GAM), a tool which supports incorporating gender into humanitarian programming action. The GAM updates the IASC Gender Marker's gender equality programming framework (2012) to highlight synergies with developments in protection, GBV and accountability to affected populations. The GAM enhances gender equality programming in reflective and sustainable ways, by improving gender analysis to show how needs, capacities and vulnerabilities of men, women, boys and girls are considered in programme design and implementation throughout the whole humanitarian programme cycle. Over the period 2018-2021 and in 2019 specifically, GenCap will work towards global commitments to gender equality programming and women's empowerment in the humanitarian system and help to place gender equality at the core of humanitarian action.

The ProCap and GenCap 2018-2021 Strategic Framework

In 2018, ProCap and GenCap adopted a new strategic framework for 2018-2021, which has an overarching vision. Recognizing the synergies between the ProCap and Gen-Cap projects, a common vision provides a long-term perspective to guide the strategy and optimize the impact and added-value of both projects. The vision outlines the common goal the projects will contribute to through its support and services to the humanitarian system. The common vision of the GenCap and ProCap projects is:

Vision
A world in which all persons affected by humanitarian crises are protected and humanitarian action prioritises gender equality.

Based on ongoing analysis of protection and gender capacity gaps, this vision guides Pro-Cap and GenCap to support humanitarian organizations and operations to improve and strengthen gender and protection coordination and response in field operations, including in support of new innovative approaches, such as cash-based programming (CBP). The projects will work within the existing humanitarian architecture at the global, regional and national levels jointly with existing coordination mechanisms, such as HCTs, UN Country Teams (UNCTs), clusters/sectors, working groups and task teams. ProCap and GenCap have distinct mission statements.

ProCap's mission statement is:
Strengthen interagency capacity and leadership to ensure protection is central to humanitarian action, through strategic and operational support and expertise.

GenCap's mission statement is:
Strengthen inter-agency capacity and leadership to deliver on commitments to gender equality and women's empowerment in humanitarian action, through guidance, tools and expertise.

Strengthen inter-agency capacity and leadership to deliver on commitments to gender equality and women's empowerment in humanitarian action, through guidance, tools and expertise.

In 2019, ProCap and GenCap will be active predominantly in humanitarian contexts affected by armed conflict, natural disasters and mixed migration as well as situations of protracted displacement, some of which could be transitioning from humanitarian to development settings. Where the projects operate alongside integrated UN presences support will also include outreach to share humanitarian perspectives and support synergies as appropriate.
Three key modalities will complement the work of other organizations and coordination / surge capacity mechanisms to improve and strengthen protection coordination and response in the field.

Deploy: ProCap and GenCap will deploy senior and experienced advisors, as an inter-agency resource, to support their three priority focus areas. The deployment of advisors will be prioritised based on potential impact on the system-wide response and at the strategic level; the scale and severity of the crisis; existing capacity; and sustainability of the intervention.

Train: The 2018-21 strategy outlines training and capacity building initiatives are strategic entry points for strengthening the institutionalization of gender equality and protection capacity in key field response mechanisms and functions.

In 2019, the training component of the projects will be adapted to meet the strategic objectives of the projects. The protection and gender field trainings to national and local stakeholders will be an integral part of the work of the advisors deployed to crisis settings. Systematic inclusion of local training and awareness raising will aim to advance localization and sustainability of the projects' objectives, beyond the timeframe of the deployments. For ProCap advanced training modules will also be developed and an advanced pilot delivered at the end of the year.

For 2019, following an evaluation workshop in January, the first ToT GiHA for 2019 is scheduled for March to be held in English in Eastern Africa and the second to be held in the MENA region in early November. The training materials, already available in French and Spanish will also be translated into Arabic.

Policy advice and sharing of practice: ProCap and GenCap will identify recurring issues and challenges through their deployments and trainings to promote knowledge sharing and best practices in protection in humanitarian action. The project will contribute to discussions and provide support in the development and implementation of policies, frameworks and tools which impact protection.

IASC Gender with Age Marker (GAM)

In 2018, the GenCap project continued with the rollout of evaluation tools, namely the Gender with Age Marker (GAM). Following the decision to revise the IASC Gender Marker, the GAM updates the Gender Marker's gender equality programming framework to highlight synergies with developments in protection, Gender-Based Violence (GBV), and accountability to affected populations (AAP). The new coding framework and automated tool makes it simpler for humanitarians to integrate gender equality programming. In addition to tool development and awareness, GenCap deployments have supported pilots of the GAM in several operations since 2015. After a successful piloting period, the GAM was finalized at end 2017, receiving endorsement from the IASC in March 2018. The GAM team focused in 2018 on the tool's rollout, training more than 2,000 staff, conducting workshops and offering constant support to the field.

In 2019, the GenCap project will focus on analysis and reporting on GAM data from countries using the Humanitarian Programme Cycle (HPC).

With the adoption of the new Strategic Framework 2018-2021 in 2018 and following an independent review of the Projects' current governance structure, the GenCap and ProCap Projects have worked on improving and strengthening the governance of the Projects. The Steering Committees will be replaced by a new governance body in 2019.

The ProCap and GenCap Advisory Group, comprising UN agencies, NGOs and Humanitarian Coordinators will guide the project.

OCHA's key role within the Project is acting as the secretariat of the Advisory Group, fundraising and maintaining donor relations for the projects as well as ensuring the sharing of policy and practice in the humanitarian system. ProCap and GenCap is therefore ideally positioned within OCHA's System-wide Approaches and Practices Section (SWAPS), alongside both the Humanitarian Leadership Strengthening (HLSS) and the IASC Secretariat within the Coordination Division, to ensure the Projects remain an inter-agency resource available to the wider humanitarian community.
NRC is responsible for roster management, namely recruitment, employment, deployment and ongoing support, including staff welfare. Capacity building of roster members is undertaken in collaboration with OCHA. Roster members are deployed under a personnel support agreement with UN agencies, IOM and NRC.

The final governance setup will also be aligned with the new IASC structure and overall UN reform.

Monitoring and evaluation

An independent review of the Projects carried out in 2017 highlighted that the projects should monitor its activities and impact to systematically measure the GenCap and ProCap projects' performance and impact. In order to track and report on results and progress and promote accountability and learning in the projects, the project is in the process of developing a global monitoring, evaluation and learning (MEL) framework[1] to be launched in 2019.

The framework will incorporate a set of common indicators for the GenCap and the ProCap projects, and practical tools and processes for data collection and analysis of results, which will enable the projects to track and report on progress and provide information which can be used for project improvement and adjustment, learning, communications, advocacy and fundraising purposes.

Impact review

In 2019, the projects will also complete an impact evaluation whose purpose is to assess if and how the ProCap and GenCap activities result in measurable changes in programming and coordination within host Humanitarian Country Teams (for deployments), or within other specific target groups for policy/advocacy and training components. Its final conclusions and recommendations will inform the finalization of the new MEL. This review will explore relevance, effectiveness, impact and sustainability of the deployment component of the projects, as well as the relevance and effectiveness of the policy/advocacy and training components.

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1   The MEL will cover deployments, training, recruitments/roster management, advocacy and fundraising/donor relations

Enhancing Synergies between the Projects

In 2018 synergies were achieved in the following components of the two projects:

- Strategic Framework 2018-2021 and vision
- deployments
- MEL tool (cross-project learning)
- communication tools
- Steering Committee sessions
- donor bilateral consultations
- Joint management meetings (NRC and OCHA)
- debriefings – planning end of October (ProCap & GAM)
- Joint Appeal and joint budget

Three key modalities will complement the work of other organizations and coordination / surge capacity mechanisms to improve and strengthen protection coordination and response in the field.

In 2019, the Project will continue to work to reinforce synergies between the Projects and other relevant partners through its the key modalities, with a focus on deployments supporting key Humanitarian Country Team priorities.

Protection from Sexual Exploitation and Abuse (PSEA) and Gender Based Violence (GBV)

The 2018-21 ProCap GenCap Strategy specifies the Projects will support the advancement of the Protection from Sexual Exploitation and Abuse (PSEA) agenda within HCTs, including supporting the implementation of agreed frameworks and mechanisms. In addition, the Projects may receive requests to provide support to IASC-led PSEA processes as well as specific ad hoc requests from the field. The Advisory Group members will provide continued guidance in terms of the Projects' overall engagement in PSEA. The Projects will also support GBV work, including in co-operation with the GBV Area of Responsibility.

2019 Funding Requirements

Since their inception, the projects have received generous support from Australia, Austria, Canada, Denmark, Estonia, Ireland, Germany, Luxembourg, Norway, Spain, Sweden, Switzerland, the United Kingdom (DFID), the United States of America (OFDA), and the European Union (ECHO). United Nations and other Organizations have also supported the projects through specific deployment and co-financing funding arrangements.

In 2019, ProCap and GenCap are requesting US$ 8,935,560 to address protection and gender capacity requirements within the evolving humanitarian system by adapting to new global humanitarian commitments, policies and agendas.

ProCap

PROCAP DEPLOYMENTS AND ROSTER MANAGEMENT: FINANCIAL SUMMARY (in US$)

ProCap (Up to 200 deployment months, average deployment 6-12 months)	3,100,000
NRC Roster Management (25 months)	249,050
Contractual Services (audit, roster database, visibility items and information products)	54,780
Travel (deployees, NRC, OCHA SU and recruitment)	140,211
General Operating and Other Direct Costs (Technical workshops, SC meetings, deployee trainings and debriefs)	165,107
NRC Program Support Costs (at 7%)	259,640
OCHA Transfer Overheads (at 3%)	119,064
SUB-TOTAL	**4,087,852**

INTER-AGENCY PROCAP PROTECTION CAPACITY TRAINING: FINANCIAL SUMMARY (in US$)

Management and Facilitation of Trainings	147,444
Contractual Services (translation of training materials)	41,125
Travel (NRC Staff, OCHA SU and ProCap/GenCap trainers)	60,250
General Operating and Other Direct Costs (training venue)	95,700
NRC Program Support Costs (at 7%)	24,256
OCHA Transfer Overheads (at 3%)	11,065
SUB-TOTAL	**379,838**

TOTAL PROCAP DEPLOYMENTS AND TRAINING: FINANCIAL SUMMARY (in US$)

SUB-TOTAL	**4,467,690**

GenCap

GENCAP DEPLOYMENTS AND ROSTER MANAGEMENT, including the GAM roll-out: FINANCIAL SUMMARY (in US$)

ProCap and GenCap advisers (Up to 200 deployment months, average deployment 6-12 months)	3,007,464
NRC Roster Management (25 months)	218,968
Contractual Services (audit, roster database, visibility items and information products)	50,320
Travel (deployees, NRC, OCHA SU and recruitment)	140,859
General Operating and Other Direct Costs (Technical workshops, trainings and debriefs)	162,692
NRC Program Support Costs (at 7%)	250,621
OCHA Transfer Overheads (at 3%)	114,928
SUB-TOTAL	**3,945,852**

GENDER IN HUMANITARIAN ACTION TRAINING (GiHA) and GAM roll-out training: FINANCIAL SUMMARY (in US$)

Management and Facilitation of Trainings	147,770
Contractual Services (translation of training materials)	70,025
Travel (NRC Staff, OCHA SU and ProCap/GenCap trainers)	97,820
General Operating and Other Direct Costs (training venue)	159,890
NRC Program Support Costs (at 7%)	33,285
OCHA Transfer Overheads (at 3%)	15,264
SUB-TOTAL	**524,054**

TOTAL GENCAP DEPLOYMENTS AND TRAINING: FINANCIAL SUMMARY (in US$)

SUB-TOTAL	**4,467,906**

1. Budget for the Inter-Agency Standby Capacity Support Unit, (covered through OCHA's budget), this is divided equally between ProCap and GenCap.

ProCap & GenCap

PROCAP AND GENCAP SUPPORT UNIT (OCHA BUDGET), FINANCIAL SUMMARY (in US$)	
Staffing: 2x P4 Humanitarian Affairs Officers, 1x Programme Management Assistant post	538,932
Operating expenses	2,330
Communication, seminars, supplies and materials and staff training	4,985
OCHA Program Support Costs (at 7%)	38,237
TOTAL COSTS SUPPORT UNIT (OCHA BUDGET)	584,484

Photo Captions and Credits

FRONT COVER
Baharka IDP Camp, Arbil, Iraq: A boy and a girl use water-taps to play with in a displacement camp on the outskirts of Erbil.
Credit: OCHA / Iason Athanasiadis

PAGE 2
New arrivals cross the river bed in the village of Aburoc, South Sudan, on May 12, 2017.
UNICEF/ Phil Moore

PAGE 4
MAMILYAN IDP CAMP, AKRE, IRAQI KURDISTAN. Credit: Giles Clarke/ Getty Images Reportage

PAGE 10
Bakaci camp, Borno, Nigeria, 24 October
Rehabilitation of children and women recruited by armed groups
@OCHA/Eve Sabbagh

PAGE 11
Tando Allayar, Sindh: A young girl jumps a rope outside a Protective Learning and Community Emergency Services (PLaCES) centre. Credit: UNICEF/Warrick Page

PAGE 14
Refugee children walk home together after school in Nyumanzi refugee settlement.
Credit: UNICEF

DESIGN: Fatima Sator