# CONTENTS

**BACKGROUND** ........................................ 3

**STRENGTHENING PROCAP AND GENCAP** .......... 4

  - THEORY OF CHANGE ................................ 4
  - ROSTER DEVELOPMENT AND MANAGEMENT ...... 4
  - CAPACITY DEVELOPMENT ............................... 4
  - MONITORING, EVALUATION AND LEARNING
    FRAMEWORK ........................................ 4

**PROCAP IN 2019** .................................... 5

  - PROJECT RESULTS ................................... 6
  - CAPACITY BUILDING ................................ 8
  - POLICY INFLUENCE AND SHARING PRACTICE ... 8

**OPERATIONAL PROJECTIONS FOR 2020** .......... 9

**FINANCIAL UPDATE** ................................ 10

**ACRONYMS AND ABBREVIATIONS** ................. 11

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BACKGROUND

The inter-agency Protection Standby Capacity Project (ProCap) is a joint Project between the Office for the Coordination of Humanitarian Affairs (OCHA) and the Norwegian Refugee Council (NRC). Established in 2005, the Project seeks to strengthen protection capacity and leadership to ensure protection is central to humanitarian action.

The Project helps enable the humanitarian system to find practical solutions to complex challenges. ProCap’s inter-agency nature and approach, its neutrality and independence, and the expertise offered by Senior Protection advisers are considered the Project’s main added value.

ProCap deploys Senior Advisors from a managed roster to provide strategic and operational support and expertise. The Project provides capacity building and training services, largely targeting practitioners in the field. The mission of the Project is also implemented through the sharing of influence and practice within the humanitarian system.

Beyond deployments of Senior Protection Advisors, the Project also provides training and capacity building techniques at the field level; enhances inter-stakeholder dialogue and influences policy and practice. For example, Senior Advisors provide a practitioner’s perspective in global forums, inter-agency panels and at thematic learning events. They also provide expertise in developing global tools and guidance frameworks.

The joint ProCap and GenCap Strategic Framework, adopted in 2018, sets out the direction and focus of project activities for the period of 2018 - 2021. The common vision of ProCap and GenCap is, “a world in which all persons affected by humanitarian crises are protected and humanitarian action prioritises gender equality and women’s empowerment”. This vision provides a long-term perspective to guide impact and comparative advantage of both projects.

ProCap is governed by an Advisory Group, established in 2019, which provides strategic direction and oversight for the Project. Moreover, members of the ProCap Advisory Group provide guidance to enable the management of the Project to deliver against the Project’s objectives.

The Project continues to rely on the strong partnership between OCHA and NRC. Together, they are in the process of implementing the Project ReDesign, based on the recommendations of the 2019 Evaluative Review and endorsed by the Advisory Group in July 2019. The ReDesign strengthens ProCap and GenCap’s project identity and its implementation will ensure greater focus on field-level impact; leadership; prioritization; capacity development; monitoring, advocacy and partnership management.
STRENGTHENING PROCAP AND GENCAP

**Theory of Change**

The development in 2019 of a joint ProCap GenCap Theory of Change (ToC) aimed to support the projects to articulate the common vision and, in doing so, to align global and in-country support strategies with long term goals, supported by the necessary preconditions in the functional areas of communication, fundraising and administration. The Theory of Change stated that, “by investing in tailored inter-agency deployments in support of humanitarian operations, the projects aim to reinforce leadership, programmes and localization strategies that ensure the centrality of gender and protection in humanitarian operations”.

**Roster Development and Management**

Throughout the year, NRC and OCHA reached out to partners at global and field levels to promote the availability of Senior Protection Advisers. Such outreach focused on contexts where protection and gender needs were considered particularly urgent and/or where deployment could have the most significant impact. In 2019, the project management team initiated efforts to build and diversify the range of profiles and language skills included in the roster, while retaining the levels of expertise, diplomatic skills and acumen of already rostered Senior Protection Advisers. ProCap has sought out new profiles, aligned with the strategic framework, including on child protection; housing, land and property; durable solutions for IDPs; human rights; humanitarian, development and peace collaboration; localization approaches; and civil-military coordination. Efforts were also made to strengthen overall roster management and administrative processes.

**Capacity Development**

Training and capacity development initiatives are strategic entry points for strengthening the institutionalization and system change of protection and gender equality in key field response mechanisms and functions. Through the trainings, ProCap seeks to enhance capacities of UN agencies, stand-by partners and NGOs. The ReDesign places greater focus on capacity development through the delivery of specialized module-based training, which can be adapted to specific country contexts by the Senior Advisors. Throughout the year, training approaches already began to shift from package delivery to wider capacity development, to encompass all project activities in the field and to inform, and be informed by, the MEL Framework. Building on lessons from those training events, a Capacity Development Plan was endorsed by the Advisory Group in December 2019.

**Monitoring, Evaluation and Learning Framework**

A Monitoring, Evaluation and Learning (MEL) Framework being developed in 2019 for implementation starting in 2020, will allow ProCap to track operational and programmatic progress globally and against each field work plan to measure and report on results at regional or field levels and to resolve or mitigate operational and programmatic bottlenecks, when needed. The MEL Framework will ensure that strategic decisions and advocacy are based on evidence and the collective experience of the rostered Senior Advisors. It will also promote knowledge exchange among Senior Advisors, and between the Senior Advisors and the humanitarian community. The impact of the MEL Framework will be continuously assessed over the coming years in order to make appropriate adjustments.
In 2019, the focus of ProCap has continued to be strengthening leadership and capacity within the humanitarian system to ensure protection is central to humanitarian action through involvement of a wide range of duty-bearers, including humanitarian, development, political, peacekeeping and other relevant actors. ProCap raises awareness, promotes respect for and assists in incorporating and applying protection to durable solutions frameworks, tools and policies.

Senior Advisors have supported inter-agency protection coordination mechanisms and embedded protection into humanitarian coordination processes. They have developed protection-related policies for national governments, including as regards durable solutions for IDPs. By working closely with Resident Coordinators/Humanitarian Coordinators (RC/HCs) and Humanitarian Country Teams (HCTs), and in some cases Resident Coordinators and UN Country Teams (UNCTs), as well as national governments and stakeholders, Senior Protection Advisors have ensured that protection activities have been translated into long-term sustainable measures.

ProCap continued to be guided by and to promote the Inter-Agency Standing Committee (IASC) policy on Protection in Humanitarian Action (2016), the IASC Centrality of Protection in Humanitarian Action Statement (2013), the Professional Standards for Protection Work (2018), and the IASC Framework on Durable Solutions for Internally Displaced Persons (2010). ProCap also supported synergies with other relevant protection and peacebuilding actors in line with policies and initiatives such as the UN policy on Integrated Analysis and Planning (2018), the UN DPKO/DFS policy on Protection of Civilians in United Nations Peacekeeping (2019) and the UN system-wide ‘Human Rights Up Front’ Initiative (2013). ProCap continues to work closely with the Global Protection Cluster, and its Areas of Responsibility (AoRs).
**Project Results**

**ProCap Deployments**

The focus of ProCap deployments in 2019 was in accordance with the 2018 – 2021 Strategic Framework and the guidance provided through the ReDesign process.

A total of 30 Senior Protection Advisers were on the roster in 2019. Through the year, ProCap deployed 19 Senior Protection Advisers to 12 assignments at global (1) and country (11) levels, namely to the Central African Republic, Ethiopia, Haiti, Iraq, Jordan, Lesotho, Libya, Mali, Mozambique, Nigeria, the Philippines and Yemen. This included deployments to system-wide emergencies, complex humanitarian crises and transitioning or politically challenging situations. Meanwhile, the number of deployment requests witnessed an upturn from a total of ten in 2018, to 19 in 2019. In response to requests, Senior Protection Advisers with the appropriate profile and skills were deployed in a timely manner and worked, in most cases, under the direct supervision of the RC/HC or the head of the hosting agency.

**Developing HCT Protection Strategies**

In 2019, Senior Protection Advisors provided strategic support and expert advice towards the development of HCT protection strategies in six country contexts: the Central African Republic, Haiti, Iraq, Libya, Mali and Nigeria. Senior Protection Advisors facilitated inter-agency discussions to identify the most critical protection issues to be addressed by the HCT through advocacy or through direct programmatic interventions. These exercises were opportunities to support HCs on protection and to reinforce common ownership of protection priorities by the HCT.
Development of National Protection Strategies

In Haiti, the Senior Protection Advisor worked with the national authorities to develop a four-year national strategy on protection, which was subsequently validated and translated into Créole. Meanwhile, in Mozambique, the Senior Protection Advisor also worked with the authorities to address protection-related issues as a result of violence in the northern part of the country.

Promoting Durable Solutions

In the Central African Republic, the Senior Protection Advisor provided expert advice to the DSRSG/RC/HC and to the representatives of the UN Development Programme (UNDP) and the UN Refugee Agency (UNHCR), as co-chairs of the Durable Solutions Working Group. The Senior Protection Adviser also provided advice and guidance to the HCT on implementing the roll-out of durable solutions for IDPs. To ensure a common vision of durable solutions, stakeholders were sensitised to the principles of protection and to the relevant national and global instruments.

Natural Disasters

The Senior Protection Advisor deployed to Mozambique supported the HCT and the Protection Cluster to address protection-related recommendations and lessons learned following the drought in 2015 and 2016. As such, the strategy developed to ensure the centrality of protection in the response in Mozambique was informed by the challenges and opportunities previously identified.

Humanitarian, Development and Peace Collaboration

In Haiti, under the leadership of the DSRSG/RC/HC, the Senior ProCap Advisor successfully rallied humanitarian, development and peace actors to develop a common protection strategy. The positioning of this strategy was solidified through its inclusion as a strategic objective in the Humanitarian Response Plan (HRP) and inclusion in the work plan of the UN Development Assistance Framework (UNDAF). Similarly, in Iraq, the protection strategy developed also included a focus on humanitarian, development and peace collaboration.

Prevention of Sexual Exploitation and Abuse (PSEA)

In Haiti, the Senior Protection Adviser produced a Concept Note for the Protection from Sexual Exploitation and Abuse (PSEA) complaint and feedback mechanism. ProCap was also instrumental in establishing a taskforce to support its implementation.

Resource Mobilization for Protection

To deliver on the outcomes of the Joint Strategic Framework 2018 - 21, ProCap is engaged to mobilise adequate resources to address protection requirements within the context of evolving crises and a changing humanitarian system, and to provide predictable and sustained support to the field. As a result of the May 2019 Oslo Conference on Ending Sexual and Gender-Based Violence in Humanitarian Crises and associated commitments, ProCap will facilitate deployments for additional protection capacity to strengthen the humanitarian community’s strategic implementation of the Centrality of Protection, including focus on GBV.
The Project also adopted a new communications strategy in 2019, in order to increase outreach to key stakeholders and enhance the visibility of the projects. Activities will include different communications platforms to highlight the breadth of support available, span of results, points of advocacy and sharing of practice.

**Capacity Building**

In 2019, ProCap training has been aligned with the objectives of the Strategic Framework and offered through a combination of training approaches. Systematic inclusion of local training and awareness-raising advanced the objectives of localization, accountability and sustainability. Two standard trainings took place in Kenya in March 2019, and in Switzerland, in June 2019, to develop core competencies of the Senior Advisors, including knowledge, skills, attitudes and awareness.

A three-day protection training was held in Switzerland in September 2019 for members of the Swiss Agency for Development and Cooperation (SDC) Standby Roster, emphasising a holistic approach to protection and law enforcement, and calling for pragmatic programming, complementarity, and flexibility. An Advanced Protection Seminar was also held for SDC in Switzerland that month for Swiss Humanitarian Aid (SHA) experts, SDC and Federal Department of Foreign Affairs (FDFA) partners and representatives of Swiss NGOs, to debate the current challenges and most recent developments in the protection sector of humanitarian action.

**Policy Influence and Sharing Practice**

In 2019, in partnership with the Global Protection Cluster, ProCap provided global level inter-agency policy advice and guidance to protection clusters in the field, and in support of protection responses for IDPs.

Two Senior Protection Advisors supported global items; the GPC Strategic Framework 2020 - 2024 and, in collaboration with the GPC and Child Protection and Mine Action AoRs, a UNICEF-hosted event on Explosive Hazards Child Causalities - Prevention and Response Stock.

A Senior Protection Advisor was deployed to Amman to support the UN Relief and Works Agency for Palestine Refugees (UNRWA) to review protection reforms and field efforts, and prepared recommendations for strategic planning, technical advice and capacity-building support.

One Senior Protection Advisor was deployed to support the efforts of the UN Special Rapporteur for the Human Rights of Internally Displaced Persons and her engagement with the African Union Commission in promoting the ratification and implementation of the African Union Convention for the Protection and Assistance of Internally Displaced Persons in Africa, the “Kampala Convention”.

The deployment of Senior Protection Advisors to the Central African Republic, Haiti, Lesotho and Libya have strengthened links between non-protection mandated agencies and protection agencies and have contributed to providing policy support by strengthening national policies and frameworks on protection.
In 2020, with the implementation of the Re-Design, the deployment of ProCap capacities will be underpinned by reinforced analysis of humanitarian situations and the protection support available in emerging and protracted crises. The Project will continue to provide its support through the three key modalities of deployments, capacity-building and policy influence and the sharing of practice, yet, at the same time working to overcome any artificial separation between the three.

Since the outbreak of the COVID-19 pandemic, the Project has attempted to adapt its operational modalities with an emphasis on continuing to deliver and support the field in any way possible. While travel restrictions are likely to hamper in-country deployments, the Project expects to maintain or accommodate an upward trend as regard the number of deployment months as compared to 2019.
For the current reporting period, January to December 2019, ProCap was supported by the generous contributions made by Norway, Sweden, Switzerland and the United States of America.

In 2019, ProCap appealed for US$4.47 million, of which 59 per cent was raised. An audited report from NRC is available upon request.

OCHA and NRC will continue to mobilize resources annually to deliver against the outcomes of the joint ProCap GenCap Strategic Framework 2018 – 2021 and the 2020 Appeal. ProCap and GenCap will aim to continue to nurture a base of core supporters to the Projects by continuing to build support and confidence in their successes. The Projects will invest in relations with new potential donors and strive to expand their donor base globally. The Projects will also aim at capitalising on garnering the support of key strategic advocates who can authoritatively promote the Projects. OCHA and NRC remain committed to continuing to explore opportunities for more flexible funding through multi-year and unearmarked funding mechanisms.

The ProCap GenCap ReDesign and the MEL Framework will strengthen results-based reporting and accountability and inform an enhanced visibility approach which will reinforce resource mobilization.

**ProCap Funding**

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ACRONYMS AND ABREVIATIONS

AORS  Areas of Responsibility
AUC  African Union Commission
CAR  Central African Republic
COP  Centrality of Protection
CSO  Civil Society Organisation
GPC  Global Protection Cluster
HC  Humanitarian Coordinator
HCT  Humanitarian Country Team
HNO  Humanitarian Needs Overview
HPC  Humanitarian Program Cycle
HRP  Humanitarian Response Plan
IASC  Inter-Agency Standing Committee
IDP  Internally Displaced Persons
MEL  Monitoring, Evaluation and Learning
NRC  Norwegian Refugee Council
OCHA  Office for the Coordination of Humanitarian Affairs
PSEA  Prevention of Sexual Exploitation and Abuse
PROCAP  Protection Capacity
RC  Resident Coordinator
SDC  Swiss Agency for Development and Cooperation
SGBV  Sexual and Gender Based Violence
TOC  Theory of Change
TOT  Training of Trainers
UN  United Nations
UNCT  United Nations Country Team
UNDP  United Nations Development Programme
UNHCR  United Nations Refugee Agency
UNRWA  United Nations Relief and Works Agency for Palestine Refugees in the Near East
UN WOMEN  The United Nations Entity for Gender Equality and the Empowerment of Women
USAID  United States Agency for International Development